Approved or use through 19/30/2000. OMB 0651-0031, Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. SEP 2 4 2001 09/927,730 **Application Number** August 9, 2001 Filing Date TRANSMITTAL FORM First Named Inventor Mark S. Knighton (to be used for all correspondence after initial filing) Not Assigned REC **Group Art Unit** Not Assigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 4956P005X **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form to Group (for an Application) Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Amendment / Response Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert a Status Letter Extension of Time Request Provisional Application Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below): **Express Abandonment Request** Terminal Disclaimer Information Disclosure Statement Return Receipt Postcard (1) Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Fim Thomas M. Coester, Reg. No. 39,637

or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Signature Olover

Date September 13, 2001

| CERTIFICATE OF MAILING | | | | | | | |
|---|--|--------------------------------------|------------------------------------|--|--|--|--|
| I hereby certify that this c addressed to: Assistant | orrespondence is being deposited with the United Sta Commissioner for Patents, Washington, D.C. 20231 o | ites Postal Service in this date: | e as first class ma September 1 | | | | |
| Typed or printed name | Susan M. Ocegueda | | | | | | |
| Signature | Sman Accareda | Date | 09/13/01 | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| FEE | TR | AN | SM | ITT | At, |
|-----|-----|----|-----|--------------------|-----|
| | for | FY | 200 |)1 ^{(?} ~ | |

Petent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| Complete if Known | | | | | | |
|------------------------|--------------------------------|--|--|--|--|--|
| Application Number | 09/927,730 | | | | | |
| Filing Date | 08/09/01 | | | | | |
| First Named Inventor | Mark S. Knighton, et al. RECFI | | | | | |
| Examiner Name | Not Assigned | | | | | |
| Group Art Unit | Not Assigned OCT | | | | | |
| Attorney Docket Number | 4956P005X | | | | | |

| METHOD OF PAYMENT (check one ATENT 8 | | | | FEE C | ALCULATION (continued) | roup 2 |
|--|-------------|------------|-------------|-------------|--|-----------|
| | | ADDITI | | | | |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | 3. 1 | וווטטא | UNA | L FE | = | |
| | | Entity | | • | | |
| Deposit Account 02-2666 | Fee Code | | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Number U2-2000 | 105 | | 205 | | Surcharge - late filing fee or oath | |
| Deposit | 127 | | 227 | | Surcharge - late provisional filing fee or | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | 127 | 00 | | 20 | cover sheet. | |
| Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17 | 139 | 130 | 139 | 130 | Non-English specification | |
| Applicant claims small entity status. | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| See 37 CFR 1.27 | 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action | 1 1 |
| 2. Payment Enclosed: | 112 | 1 840 | 113 | 1 840 | Requesting publication of SIR after | |
| ☐ Check ☐ Money ☐ Other | | 1,040 | 113 | 1,040 | Examiner action | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Extension for response within first month | |
| TEE CAECOLATION | 116 | 390 | 216 | 195 | Extension for response within second month | |
| 1. FILING FEE | 117 | 890 | 217 | | Extension for response within third month | \square |
| Large Entity Small Entity | 118 | 1,390 | 218 | 695 | Extension for response within fourth month | |
| Fee Fee Fee Fee Description Fee Paid | 128 | 1,890 | 228 | 945 | Extension for response within fifth month | |
| Code (\$) Code (\$) | 119 | 310 | 219 | 155 | Notice of Appeal | |
| 101 710 201 355 Utility filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 106 320 206 160 Design filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 107 490 207 245 Plant filing fee | 138 | 1,510 | 138 | • | Petition to institute a public use proceeding | <u> </u> |
| 108 710 208 355 Reissue filing fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidably | |
| 114 150 214 75 Provisional filing fee | 141 | 1,240 | 241 | 620 | Petition to revive - unintentionally | |
| | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| SUBTOTAL (1) (\$) | 143 | 440 | 243 | 220 | Design issue fee | |
| 2. CLAIMS Fee from | 144 | 600 | 244 | 300 | Plant issue fee | |
| 2. CLAIMS Fee from Extra below Fee Paid | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Total Claims 37 - = X = | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| Independent 8 - = X = = | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent Claims = | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Large Entity Small Entity | 146 | 710 | 246 | 355 | Filing a submission after final rejection | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | '-0 | , 10 | ~+0 | 333 | (37 CFR 1.129(a)) | |
| 103 18 203 9 Claims in excess of 20 | 149 | 710 | 249 | 355 | For each additional invention to be | |
| 102 80 202 40 Independent claims in excess of 3 | 179 | 710 | 279 | 355 | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | |
| 104 270 204 135 Multiple Dependent claim | 169 | 900 | | | Request for expedited examination | |
| 109 80 209 40 Reissue independent claims | '03 | 300 | 103 | 900 | of a design application | |
| over original patent | Other | r fee /s | necifi | Λ | | |
| 110 18 210 9 Reissue claims in excess of 20 Other fee (specify) | | | | | | |
| and over original patent | | | | | | |
| SUBTOTAL (2) (\$) | * Roduce | ad by Dani | o 511: | Eoo Bald | SUBTOTAL (3) (\$) | |
| "or number previously paid, if greater, For Reissues, see above Reduced by Basic Filing Fee Paid | | | | | | |

| SUBMITTED B | JBMITTED BY Complete (if a | | | applicable) | |
|--------------------------|------------------------------------|------|-----------|----------------------------|---------|
| Typed or Printed Name | Thomas M. Coester, Reg. No. 39,637 | | | Reg. Number | |
| Signature | Thomas Coeste | Date | 9/13/2001 | Deposit Account User ID | 02-2666 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.